In re Application of:

Charles B. Roxlo, et al.

Serial No. 10/808,152

Filed:

March 24, 2004

Title: Loosely-Packed Two-Dimensional

Modulator Arrangement

Examiner: not yet known

Art Unit: 2872

Atty. Dkt. No.: 10021.002310 (P0307)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

under the section indicated below.  $\Box$ 37 CFR §1.97(b). 冈 37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  $\boxtimes$ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement. OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p). 37 CFR § 1.97(d). If so, then both: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement. AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Charles B. Roxlo, et al.

Dated: November 1, 2004

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Patrick D. Benedicto
Attorney For Applicant(s)
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Typed or Printed Name:	Patrick D. Benedicto	Dated:	November 1, 2004
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By:

PTO/SB/08a (08-03)

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Substitute for form 1449494

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known					
Application Number	10/808,152				
Filing Date	March 24, 2004				
First Named Inventor	Charles B. Roxlo				
Art Unit	2872				
Examiner Name	not yet known				
Attorney Docket Number	10021.002310 (P0307)				

			U.S. PATENT	DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevar	
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS							
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Examiner Signature	Date Considered		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Substitute for form 1449B/PTO					Complete if Known			
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						Art Unit	2872		
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	Sheet	2	o	f 2		Attorney Docket Number	10021.002310 (P0307)		

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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*EXAMINER: Initial if reference considered, whether or not citation is in confe	ormance with MPFP	609 Draw line through citation if not in conformance

Date

Examiner

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